

# Executive Control Mechanisms & Construct

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- **Two Constructs Under Discussion**
  - Dynamic Control Adjustment
  - Rule Generation & Selection
- **A Brief Background & Overview**
  - What does the construct entail?
  - Why has the construct attracted attention?
  - What is the evidence base behind it?

# Dynamic Control Adjustment: The Stroop as Example

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Executive control is really needed here: To successfully resolve interference

YELLOW

GREEN

But it is not needed here: Reading can proceed automatically

A mechanism seems required to:

- detect and adapt to the presence/absence of interference

Also:

- the detection/adaptation process must be dynamic

# Dynamic Control Adjustment: The Stroop as Example

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Control demands are higher in this sequence

YELLOW RED BLUE YELLOW GREEN RED GRE

Than in this one

What happens Here? (CON)    What happens Here? (INC)

YELLOW RED BLUE YELLOW GREEN RED GRE

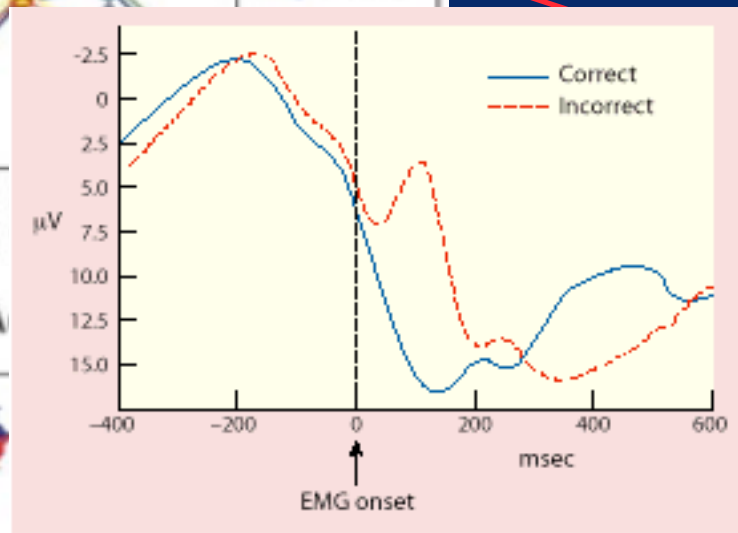
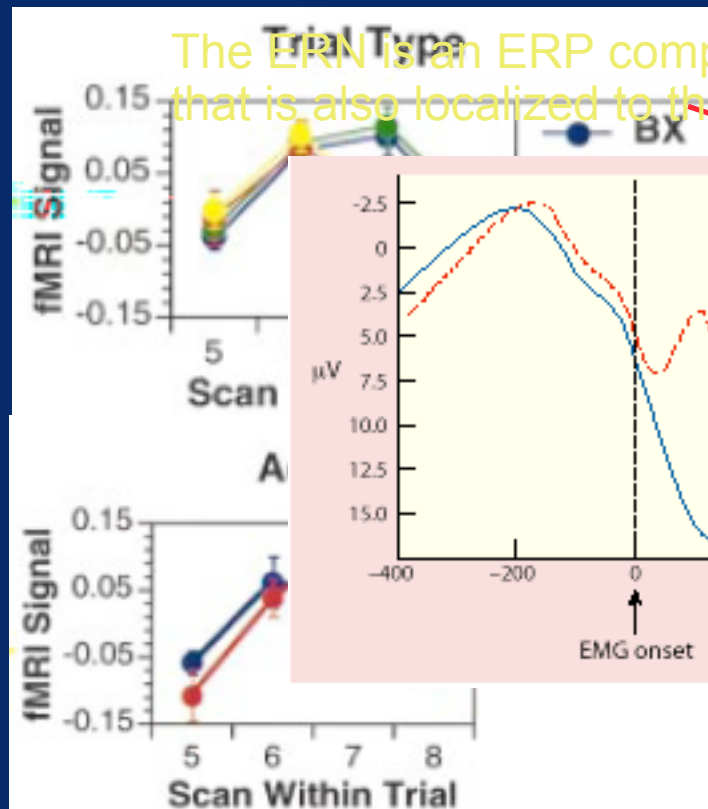
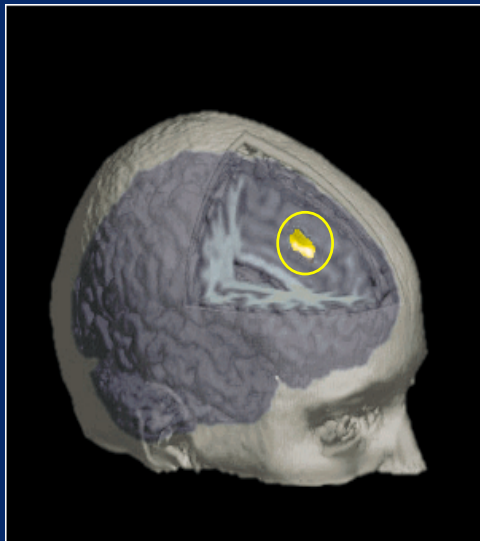
When interference is rare/minimal, control demand is low  
And so control state should adapt by relaxing

When interference is frequent/strong, control demand is high  
So control state should adapt by heightening

What is the mechanism of interference detection and control adjustment?

# Conflict (interference) detection and the Anterior Cingulate Cortex (ACC)

- **Conflict monitoring theory** (Carter et al., Science 1998)
  - The ACC detects the presence of decision-level conflict or interference
  - The ACC also responds to presence of errors (ERN literature)
  - Errors are just a special case of high conflict

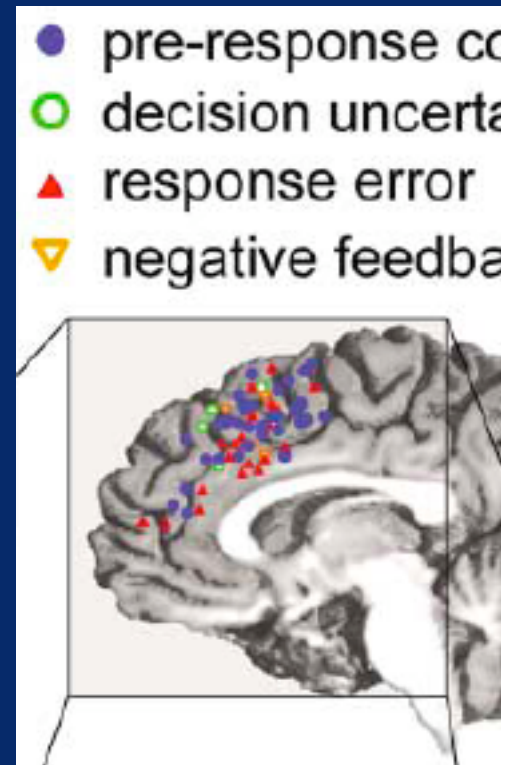


Conflict  
Conflict

# Performance monitoring and the Anterior Cingulate Cortex (ACC)

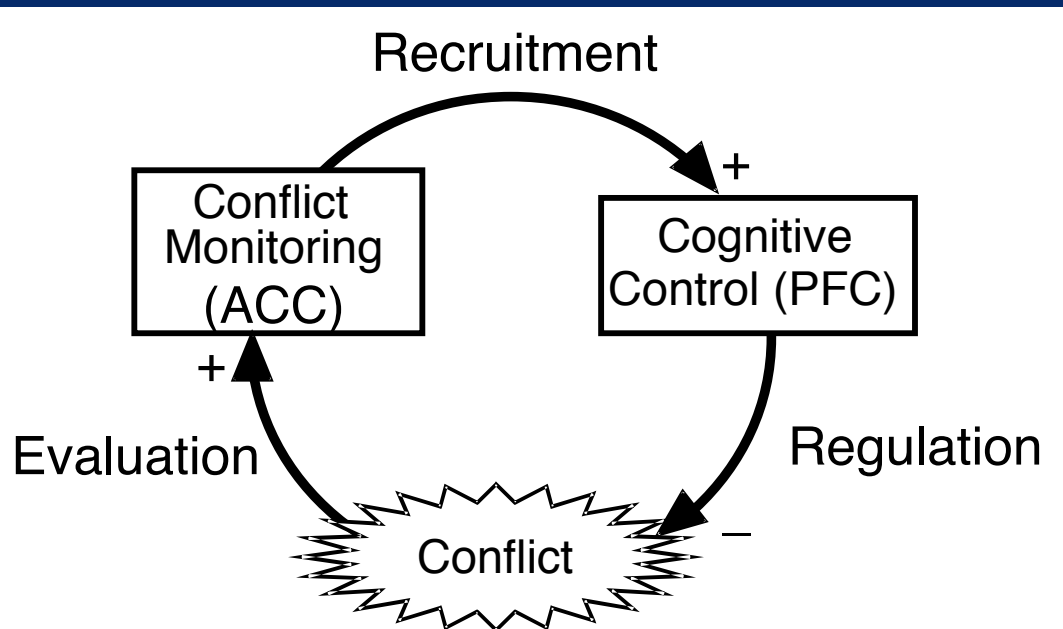
- **Basic findings have been replicated many times**
  - Dorsal ACC is reliably engaged when errors are committed
    - ♦ Additional effects may be related to error awareness (e.g., Pe component)
  - Dorsal ACC is reliably engaged across a range of S-R interference tasks (Stroop, Eriksen, Simon, go-nogo, etc)
- **Functions may be a bit more broadly described**
  - Decision-level uncertainty (Barch et al., 2000)
  - Negative feedback (Holroyd et al., 2004)
  - Error expectation (Brown & Braver, 2005)

*Dorsal ACC may be generically involved in monitoring on-going performance to detect when poorer than desired*



# Performance monitoring and the Feedback Control Loop

- What is the point of performance monitoring in the ACC?
  - To provide signals that indicate when control processes need to be adjusted
  - Control state needs to adapt to environmental demands & contingencies
    - ♦ Low interference = low control (unbiased attention)
    - ♦ High interference = high control (focused attention)

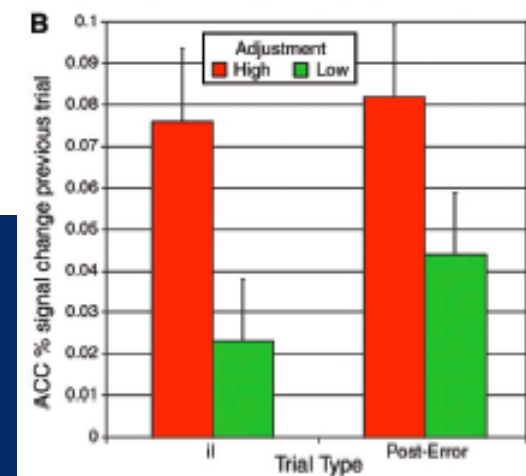
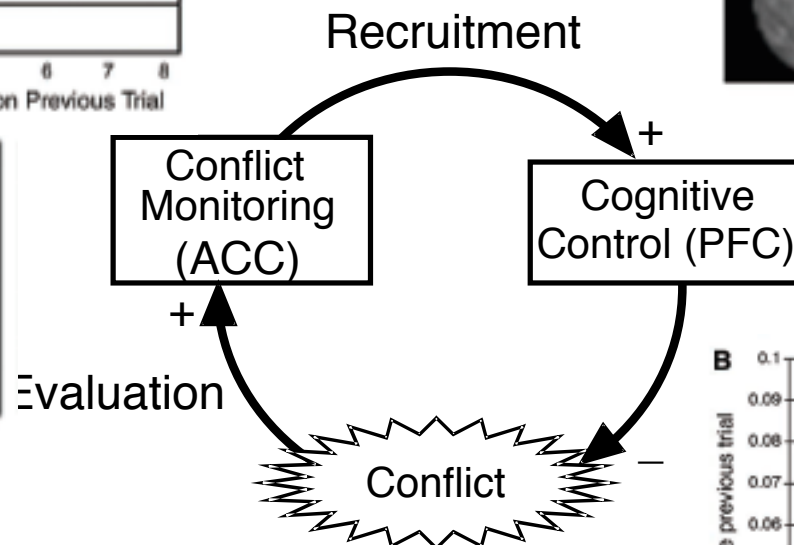
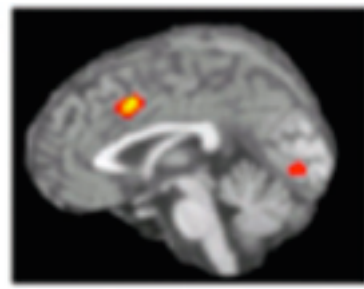
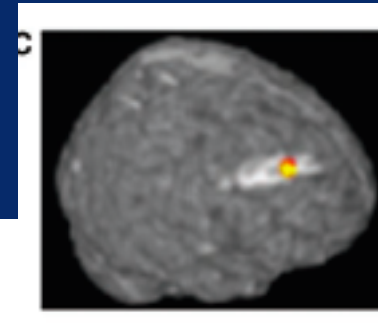
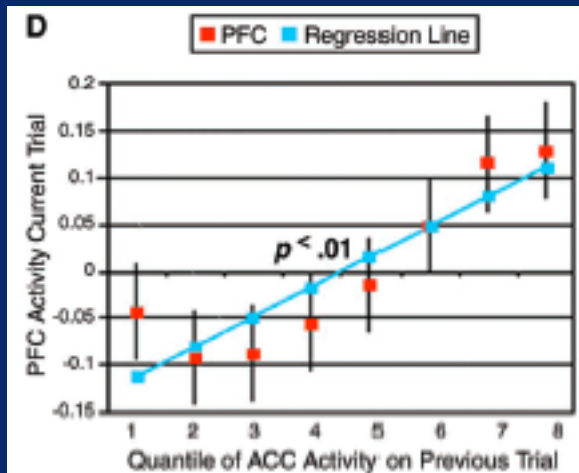


*Dorsal ACC & PFC form a feedback loop*

*Dynamically adjusts control in response to experienced conflict*

*(Botvinick et al., 2001 Psych Review)*

# ACC-PFC feedback loop (Kerns et al., 2003)

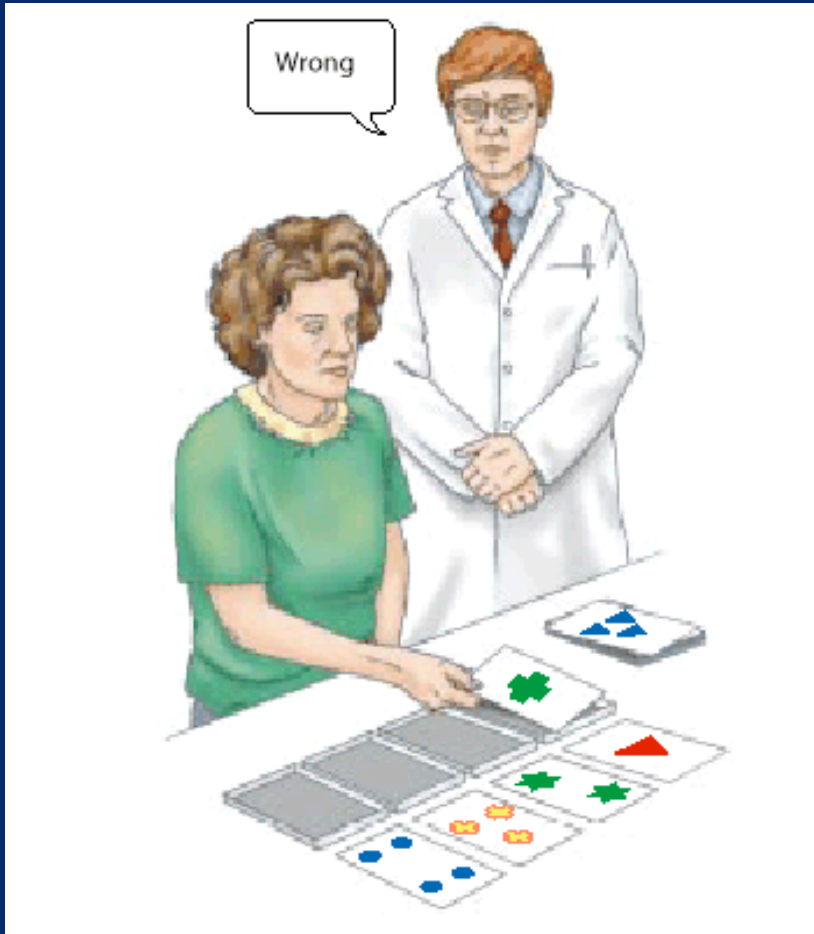


ACC activity increases following conflict (incongruent) or error trials

Increased PFC activity and decreased interference on subsequent trial

# Rule Generation & Selection: The WCST as Example

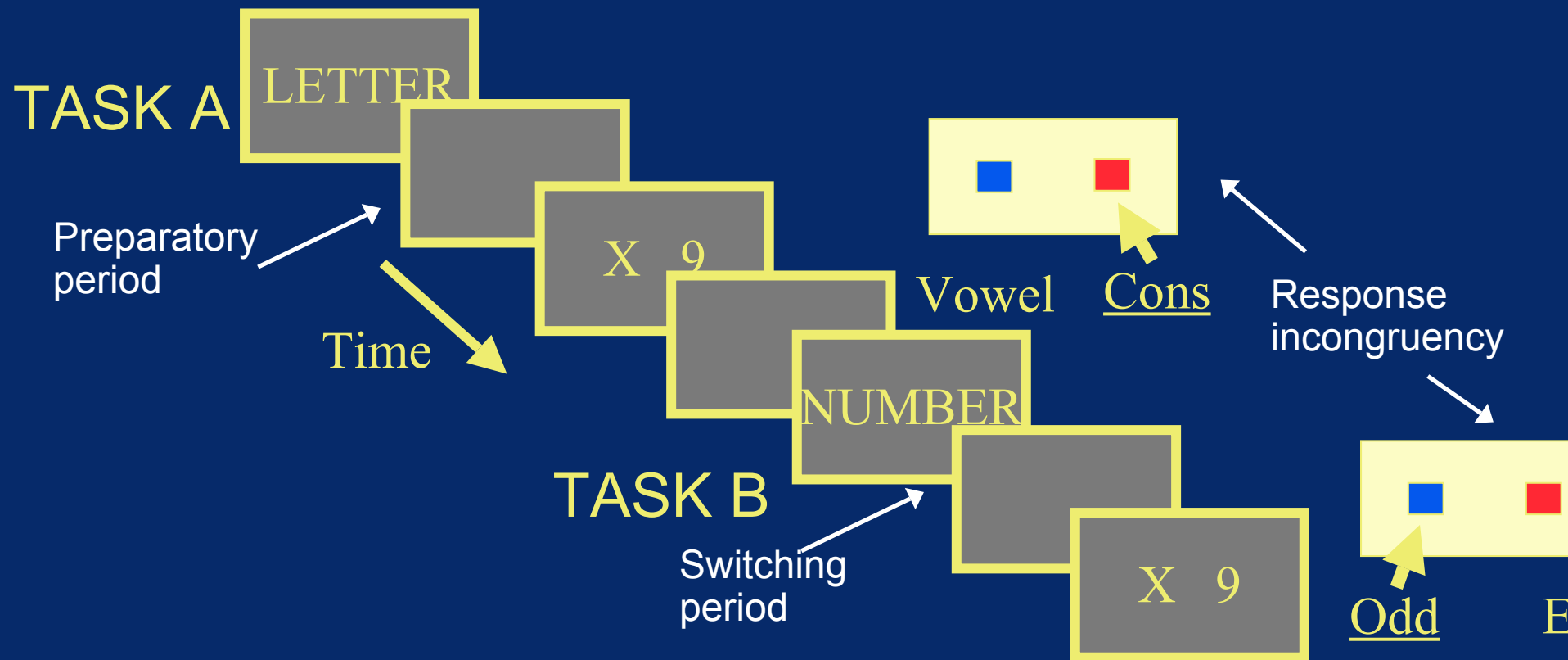
The Wisconsin Card Sort Test



- **Task requires generation, maintenance and shifting of “task-set”**
  - I.e., Rules used to guide behavior
- **Task deconstruction: Similar to Stroop**
  - Performance monitoring: Detection of negative feedback
  - Attentional biasing: Focus on task-relevant dimension
  - Feedback loop: Performance monitoring leads to attentional adjustments
- **The critical difference**
  - Attention shifting (updating) to new dimension
    - ♦ In Stroop same dimension always relevant
  - Multiple rule options are possible
    - ♦ Options must be generated (induced)
    - ♦ A single rule must be selected and implemented
- **One problem:**
  - The WCST doesn't have a lot of construct validity



# A simpler paradigm: Cued Task-Switching

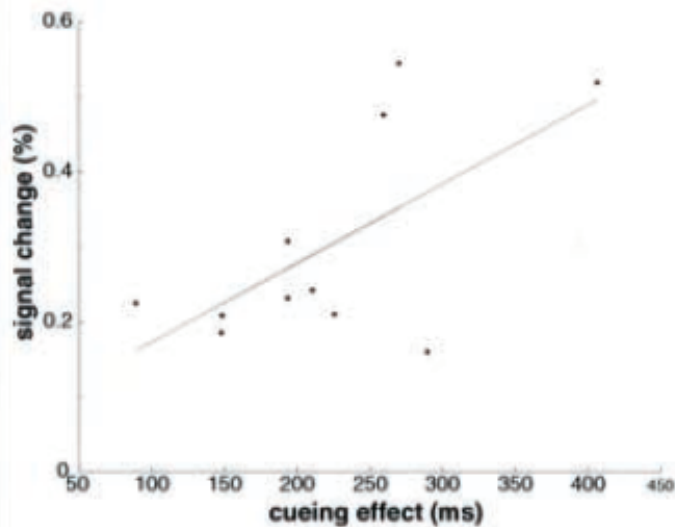
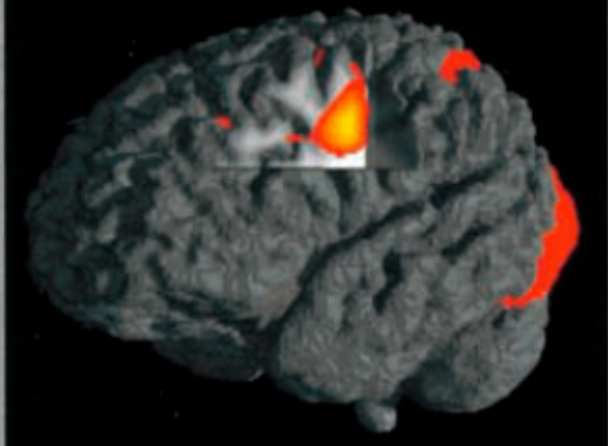


- **This paradigm enables examination of:**

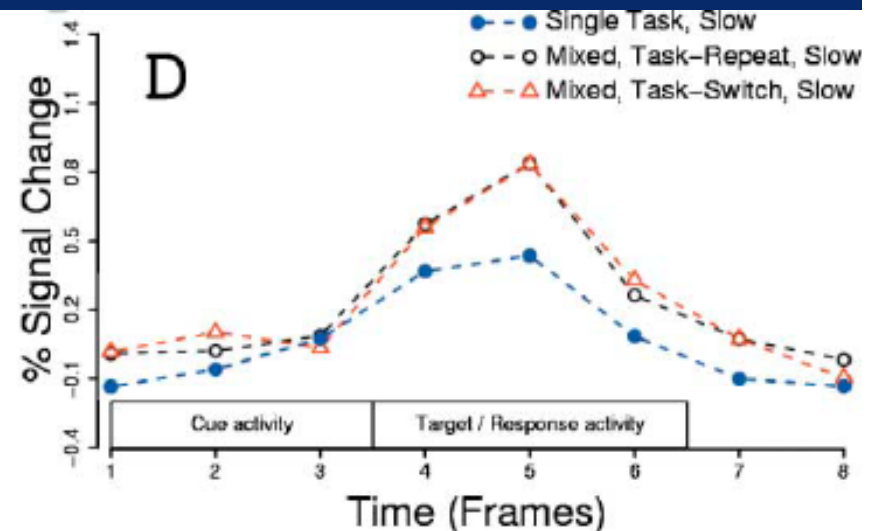
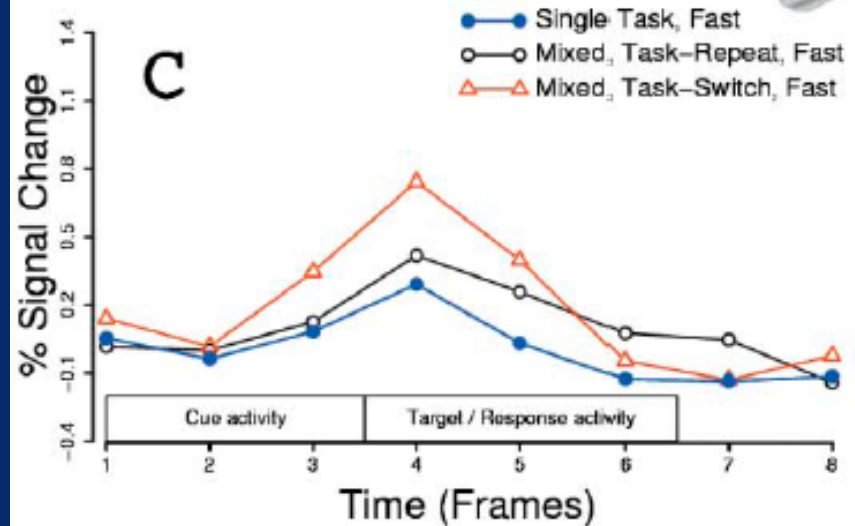
- Task switching effects (task switch vs. task repeat trials or single task trials)
- Preparatory effects on task-switching (manipulation of preparatory period)
- Other effects: Response incongruency; task difficulty asymmetry (e.g. Stroop)

# Task-switching findings

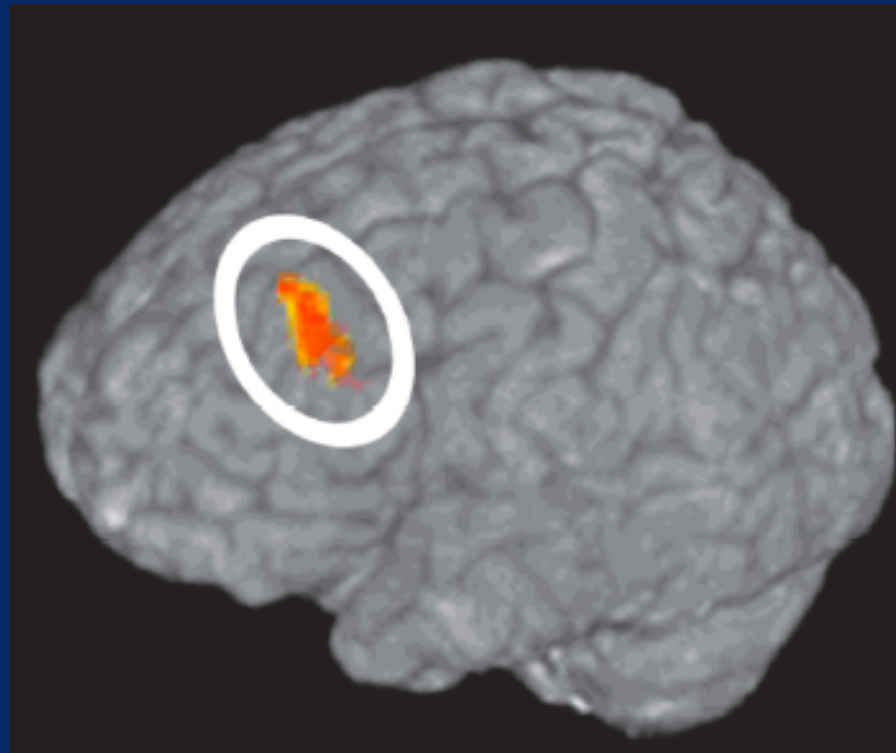
Posterior PFC (IFJ)



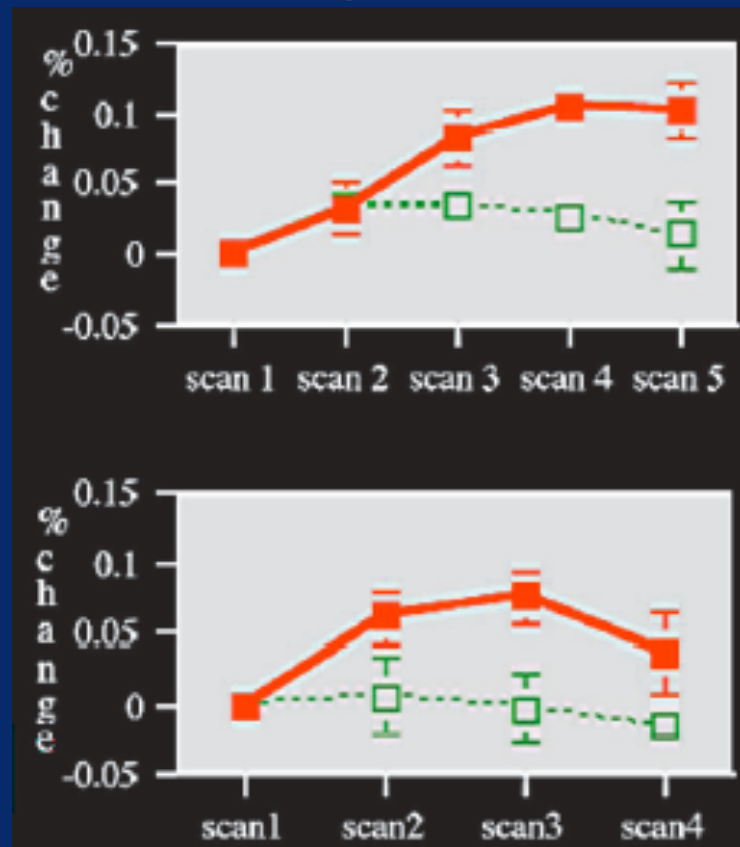
Superior Parietal Cortex



# DLPFC Activity



## Stroop Task



Color Naming

Word Reading

High Conflict Expectancy (B-Cues)

Low Conflict Expectancy (A-Cues)

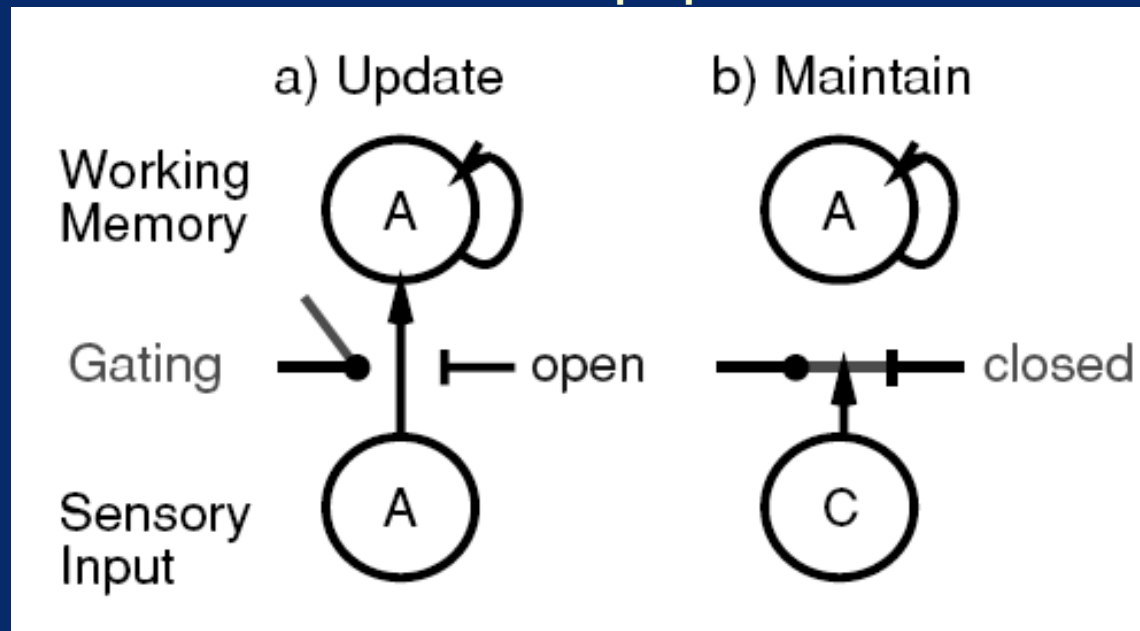
## Unresolved Issues:

- Is this rule generation & selection, or goal maintenance?
- Can they be dissociated?

## AX-CPT

# Updating: Computational Mechanisms

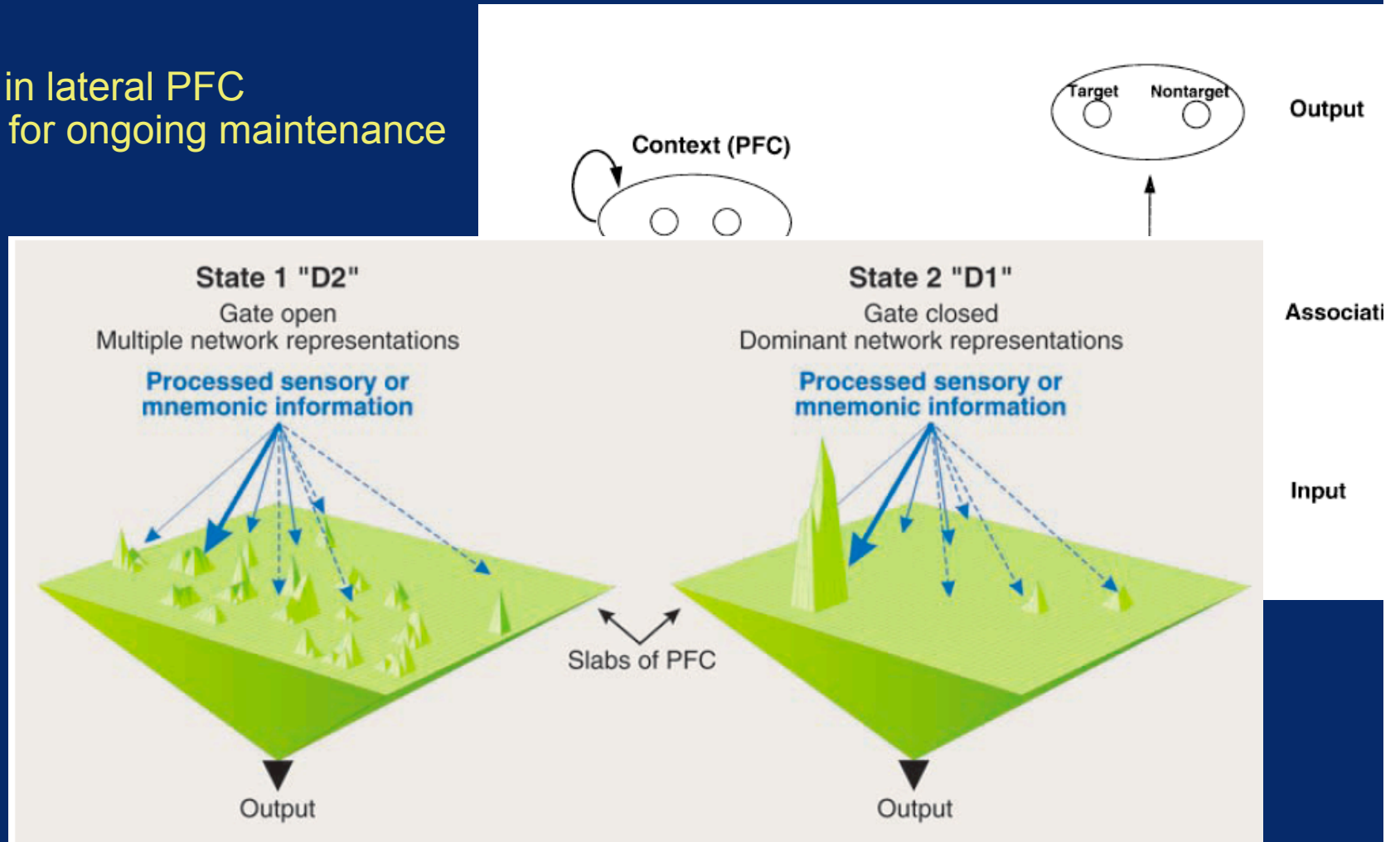
- **Task-set (Goal / Rule) generation/selection may involve gating mechanism**
  - Protects maintained information from afferent input
  - Enables robust maintenance in the face of distraction
- **“Gate must be opened” to update task-set (goal/rule)**
  - What serves as the gating mechanism?
  - Two different accounts have been proposed...



# DA-PFC Gating

Phasic dopamine (DA) activity in lateral PFC serves as gating  
- enables updating to occur (Braver et al., 1999, 2000)

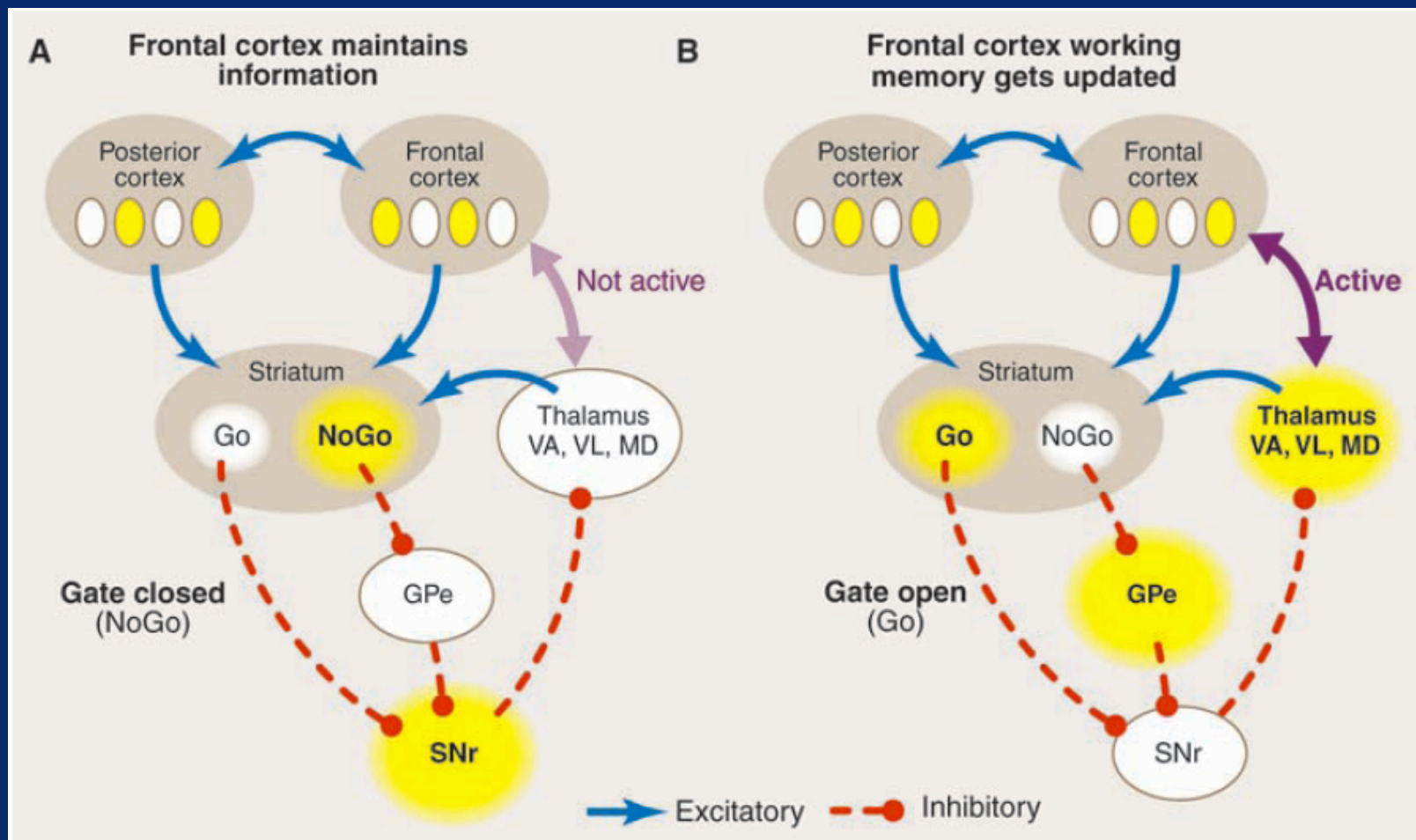
Tonic DA in lateral PFC  
Important for ongoing maintenance



More recent work suggests important D1 vs. D2 receptor effects (Durstewitz et al., 2000)

# BG-PFC Gating

Basal ganglia (BG) disinhibition of thalamus can also serve as gating signal  
Allows for selective updating -- DA system helps learn when to gate



# Summary

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- **Dynamic Control Adjustment**

- Potential Construct Definition:
  - ♦ Detection of interference, adjustment of control state, dynamic adaptation to level of interference
- Potential Neural Systems: ACC, ACC-PFC feedback loop
- Tasks typically used: Stroop, Simon, Eriksen, go-nogo/Stop signal

- **Rule Generation & Selection**

- Potential Construct Definition:
  - ♦ Selection of a task-set (i.e., collection of appropriate S-R mappings), maintenance of task-set (different construct?), updating of task-set when appropriate
- Potential neural systems: Lateral PFC, superior parietal cortex, DA-PFC projection, Basal ganglia circuitry
- Tasks typically used: Task-switching paradigms, WM updating paradigms, hierarchical paradigms (e.g. 1-2 AX-CPT)